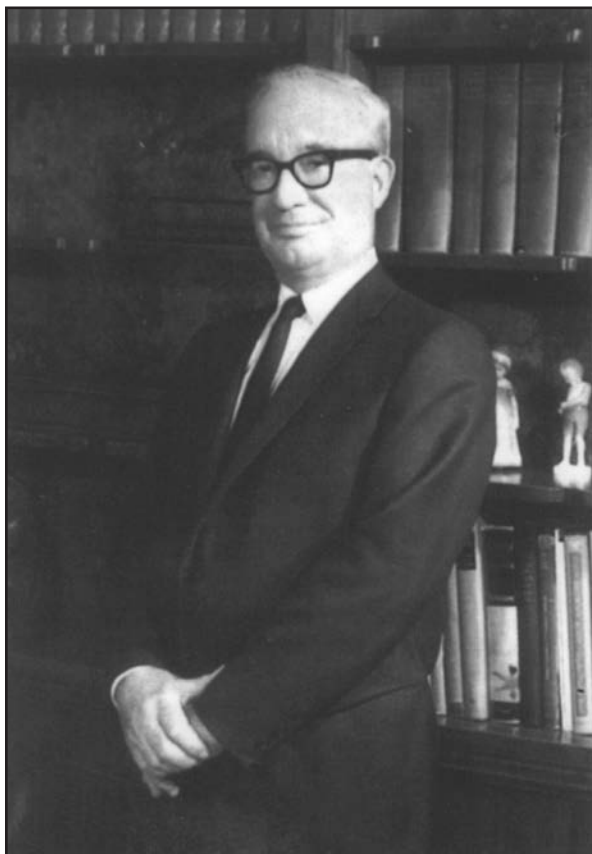


# THE ALLEN FOUNDATION INC.



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2012 ANNUAL REPORT

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[www.allenfoundation.org](http://www.allenfoundation.org)

# LETTER FROM THE CHAIR

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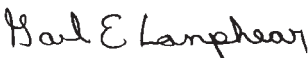
The establishment of the Allen Foundation in 1975 is the legacy of its founder William W. Allen. This legacy has allowed the foundation to support a diverse array of nutrition related research, education/training and feeding programs that have impacted the health of thousands of individuals around the globe. Each year the foundation's trustees evaluate and grade, based on their respective areas of proficiency, around 500 grant requests. Their generous donations of time and expertise in the areas of nutrition, medicine, law, finance, human services and education allow them to render prudent decisions regarding the funding of individual nutrition related projects. I cannot adequately thank the trustees for their invaluable contribution to the work of the foundation.

In 2012 our administrative assistant announced her 2013 retirement. Lucille Dougherty has served the Allen Foundation in a singular manner since 1987. She will be sorely missed; not only for her outstanding efficiency, knowledge and reliability, but for her sunny personality and deep concern for the foundation's mission. A major reason for the foundation's successful functioning over the years can be directly linked to Ms. Dougherty's contributions. While we will greatly miss her both personally and professionally, Ms. Dougherty reports that she is looking forward to spending more time with her family and pursuing her many leisure activities.

It is our great pleasure to announce the hiring of Kathy McDonald as our new administrative assistant. She comes to us with a wealth of administrative experience. We look forward to working with her in the future.

Fifteen nutrition proposals were funded in 2012: Seven focused on growing gardens and/or feeding populations in need; four supported nutrition education programs; and four were academic research projects focused on child brain health, obesity and gastrointestinal health.

Again, special appreciation is due the outstanding work of our dedicated Allen Foundation trustees and staff. Without their efforts, support for worthy nutrition projects that support good health would not be possible.



*Gail E. Lanphear, Chair*

# STATEMENT OF FINANCIAL POSITION

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## Financial Report as of December 31, 2012

### Balance Sheet

#### Assets

Cash & Equivalent.....	\$	720,463
Securities at Market Value		
Equities .....		7,458,078
Bonds .....		2,386,193
<b>Total Assets .....</b>	<b>\$</b>	<b>10,564,734</b>

#### Liabilities

Grant Commitments.....	\$	180,728
Unrestricted Assets.....	\$	10,384,006
		<u>\$10,564,734</u>

### Income Statement

#### Revenues

Interest .....	\$	117,355
Dividends.....		201,559
Miscellaneous .....		24
Net Realized Gains		
(Losses) on Investments.....		295,781
<b>Total Revenue .....</b>	<b>\$</b>	<b>614,719</b>

#### Expenses

Professional Fees .....	\$	33,908
Administrative Costs .....		25,802
Taxes.....		4,967
Grants, Net of unused funds returned...		425,024
<b>Total Expenses.....</b>	<b>\$</b>	<b>489,701</b>

**Net Income .....** \$ 125,018

#### Net Unrealized Gains

on Investments .....		435,447
Distribution from		
Trust-addition to corpus .....		25,078

**Increase in Total Assets .....** \$ 585,543

# GRANTS MADE BY THE FOUNDATION

ORGANIZATION	TOTAL	2012
American Friends Service Committee	\$ 15,700	
Astoria Sunday Market	3,000	3,000
Baptist Child & Family Services	4,475	
Bay City Public Schools	2,000	2,000
Berrien County Health Department	6,810	
Boston Medical Center	52,241	
Boy Scouts of America - Lake Huron #265	7,000	
Brigham and Women's Hospital, Boston, MA	32,677	
Brooklyn Kindergarten Society	10,000	
Caregiving Network, Midland, MI	20,400	16,900
Cedar Crest College	2,644,380	45,000
Center for Science in the Public Interest	50,000	
Central Michigan University	259,996	
Central Pennsylvania Food Bank, Harrisburg, PA	10,000	
Children of Strength	10,000	10,000
Children's Hospital of Boston, MA	166,986	
Children's Hospital of Michigan	43,720	
Children's Hospital, Lost Angeles, CA	76,304	
Comal County Senior Citizens, New Braunfels, TX	22,496	
Community Partnership - Manistique, MI	3,600	
Dana-Farber Cancer Institute	27,000	
Delta College	11,267	
Detroit City Rescue Mission	1,000	
Doernbecher Children's Hospital Foundation	80,000	
Eagle Boys Village, Inc.	28,937	
East Dallas Co-op Parish	8,665	
Easter Seals Florida, Inc., Winter Park, FL	45,234	
Episcopal Social Services of New York, Inc.	23,350	
Family Planning Center, Auburn, NY	10,000	
Farm Share, Inc.	12,150	
Fast Ice, Inc.	41,860	
Feed the Dream	45,000	15,000
Florence Crittenton Home and Services, Helena, MT	28,105	
Florida State University	74,477	
Food Bank of Eastern Michigan	75,000	
4-H of Houghton and Keweenaw Counties, MI	2,000	
FSP/Vanuata Country, South Pacific	15,000	
Geisinger Health System	75,000	
Girls Incorporated of St. Louis, MO	5,000	
Gleaners Community Food Bank - Detroit, MI	5,000	
Grace United Methodist Church - Dallas, TX	5,000	
Growing Power, Inc., Milwaukee, WI	55,800	
Health Emergency Lifeline Program	16,500	
Holy Rosary School, Seattle, WA	4,090	
Home Gardening Project, Jacksonville, OR	17,500	
Huntington's Disease Society of America, Inc.	5,000	
Immaculata College	10,000	
Indiana State University	65,107	
Ingham Regional Healthcare Foundation	15,000	
INMED Partnerships for Children	22,369	22,369
Innovation: Africa	44,000	
International Hospital for Children, Richmond, VA	25,000	
International Medical Services for Health	30,875	
Kingman Elementary School	3,000	
La Luz Center, Sonoma, CA	1,906	
La Maestra Family Clinic, Inc., San Diego, CA	150,000	
Le Bonheur Medical Center - Memphis, TN	4,990	
Lighthouse of Oakland County, MI	1,200	
Loma Linda University	48,379	
Louisiana State University	45,770	
Lutheran Social Services, La Jolla, CA	300	
Mary's Center for Maternal & Child Care, Inc.	25,000	
Matrix: Midland Festival, Midland, MI	8,000	
Memorial Presbyterian Church, Midland, MI	200	
Memorial Sloan Kettering	25,000	
Michigan 4-H Foundation	80,950	
Michigan Council of Foundations	10,500	
Michigan State University	281,730	
Michigan State University Extension - 4H	35,891	
Michigan State University Extension - Project Fresh	890,853	

Midland Area Community Foundation	20,000	
Midland Board of Education	570	
Midland Camping Council	26,330	
Midland County (Michigan) Council on Aging	90,000	
Midland County, Michigan	500	
Midland Foundation - Riverside Place	300,000	
Midland Foundation - Washington Woods	30,000	
Midland Preschool Center, Inc.	24,700	
Mid-Michigan Alliance, Clare, MI	8,900	
MidMichigan Medical Center, Midland, MI	203,914	
Monroe County Health Department	9,517	
Montachusett Veterans Outreach Center, Inc.	1,500	1,500
Montessori Children's House, Traverse City, MI	145,000	
Mother Waddles Mission, Detroit, MI	2,000	
Northwest Michigan Human Services Agency	20,000	
Northwestern University Medical School	100,000	
Northwood University	30,700	
Nurses for Newborns Foundation, St. Louis, MO	5,000	
Peninsula Volunteers, Inc., Menlo Park, CA	10,000	
Physicians Committee for Responsible Medicine	20,000	
Progress Center, Longview, WA	12,000	
Project Concern International	219,671	
Regional Food Bank of Northeastern NY	20,000	
Resources for Child Caring, St. Paul, MN	4,000	
Richland School District, Columbia, SC	30,000	
Saginaw Valley State University	1,119,311	
Salvation Army - Columbus, OH	10,000	
Salvation Army - Eastern Michigan	12,000	
Salvation Army - Midland, MI	45,850	
Salvation Army - San Diego, CA	15,000	
Saranac Community Schools	624	
Scripps Memorial Hospitals Foundation	5,000	
Share Our Strength - Detroit, MI	10,000	
St. John's Research Institute, Bangalore, India	61,569	
St. Mary's Medical Center - Saginaw, MI	52,800	
State University of New York at Buffalo	45,000	
State University of New York School of Optometry	31,717	
Still Creek Boys Ranch, Kurten, TX	16,950	
Syracuse University	474,907	
Texas A&M University	742,408	
Texas Agricultural Extension Service	36,800	
The Carl & Emily Center for Health Education	9,600	
The Central Rivers Farmshed	41,380	41,380
The Research Institute at Nationwide Children's Hospital	69,366	69,366
Trustees of Boston University	140,300	70,150
Tufts University	72,151	
U.S. Committee for UNICEF	34,500	
University of Botswana	81,766	
University of California, Davis	77,300	
University of California, San Diego	99,121	
University of Cincinnati	52,380	
University of Georgia	54,561	
University of Hawaii at Manoa	79,828	
University of Lancaster	56,221	
University of Michigan	31,908	
University of Minnesota	134,208	
University of Missouri	82,458	
University of Tennessee, Knoxville	75,000	25,000
University of Wisconsin, La Crosse	5,083	
University of Wisconsin, Stout	9,374	
Vanderbilt University Medical Center	72,266	
Victoria University, Melbourne, Australia	34,313	34,313
Wake Forest University Health Sciences	66,000	
Washington University School of Medicine	347,560	69,230
Washington University, St. Louis, MO	241,185	
West Midland Family Center	64,758	
West Virginia Hospital Association	40,000	
Western Michigan University	15,463	15,463
WIC Public Health Foundation, Enterprises, CA	21,931	
Woods Hole Marine Biological Laboratory	17,736	
YMCA of Greater Grand Rapids	35,000	35,000
Youth Living Centers - Inkster, MI	10,000	
Ypsilanti Health Initiative, Ann Arbor, MI	10,000	
YWCA of Lincoln, NE	5,175	
<b>Total</b>	<b>\$12,187,870</b>	<b>\$475,671</b>

## BOARD OF TRUSTEES

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Gail E. Lanphear, Chairman of the Board.....Cadillac, Michigan  
Mark Ostahowski, M.D., President..... Midland, Michigan  
Dale Baum, Ph.D., Secretary .....College Station, Texas  
William C. Lauderbach, Treasurer and Financial Vice President .... Midland, Michigan  
Laurie Bouwman, Assistant Secretary .....Grawn, Michigan  
Ann Jay, Trustee..... Pinconning, Michigan  
William J. Allen, Trustee..... La Jolla, California  
Patrick J. Oriol, Ph.D., Trustee.....Glen Arbor, Michigan  
Mary Neely, Trustee.....Midland, Michigan  
Charles B. Kendall, Trustee..... Midland, Michigan  
Leslie A. Hildebrandt, Ph.D., Trustee.....Shepherd, Michigan

Jack Li, Webmaster.....College Station, Texas  
Kathy McDonald, Staff Assistant..... Midland, Michigan  
Heather Kelto, Junior Apprentice ..... Interlochen, Michigan  
Amanda Bouwman, Junior Apprentice..... Owosso, Michigan

## GRANT APPLICATION PROCEDURE

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The Allen Foundation Inc. operates under the laws of the State of Michigan, with offices in Midland. In order to be considered for an Allen Foundation grant, you must be a non-profit organization, and be able to provide us with a copy of your federal Internal Revenue Service certification of 501(c)(3) tax-exempt status. Academic research under an Allen Foundation grant should be conducted under the leadership of a principal investigator who is a full-time regular faculty member with tenure or on tenure track. The foundation does not directly administer the programs which it funds. Recipients are asked to submit yearly progress reports and a financial accounting concerning the expenditures of the foundation grant.

Grants are strictly limited under the terms of the charter to projects that benefit programs for human nutrition in the areas of health, education, training, and research. The foundation requires electronic submission of all unsolicited applications for consideration for possible funding. Please go to: <http://www.allenfoundation.org/> and follow the instructions for filing a grant application online. The next “deadline” for submission of proposals is December 31, 2013. This deadline means that any proposal submitted electronically on or after January 1, 2014, will be considered for possible funding in 2015. The decisions of the board of trustees will be posted on the foundation’s website in June of each year. Because of the number of proposals received and the limited resources of the foundation, applicants should never view possible declinations to fund their proposals or delays in reviewing their proposals as judgments on the actual merits of their proposals.

### ALLEN FOUNDATION INC.

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[www.allenfoundation.org](http://www.allenfoundation.org)